



SE

19006027

OMB APPROVAL

OMB Number: 3235-0123

**Expires:** August 31, 2020 Estimated average burden

hours per response..... 12.00

SEC FILE NUMBER 8-6954 8

**ANNUAL AUDITED REPORT** 

AL AUDITED REPORT
FEB 2 8 2019

PART III

Washington, Do Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNIN	PORT FOR THE PERIOD BEGINNING 01/01/2018 AND ENDING 12/31/2018			
KEI OKI I OK IIIE I EKIOD BEOKVIIIV	MM/DD/YY		MM/DD/YY	
A. R	EGISTRANT IDENTIFICA	ATION		
NAME OF BROKER-DEALER: TenOaks Energy Advisors, LLC		C	OFFICIAL USE ONLY	
ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)		(No.)	FIRM I.D. NO.	
14180 N. Dallas Parkway, Ste	700			
	(No. and Street)			
Dallas	TX	7524	75248	
(City)	(State)	(Zip Co	de)	
NAME AND TELEPHONE NUMBER OF Lindsay Sherrer (214)420-2324	PERSON TO CONTACT IN RE	GARD TO THIS REPORT		
		(Area	Code – Telephone Number)	
B. Ac	CCOUNTANT IDENTIFIC	ATION		
INDEPENDENT PUBLIC ACCOUNTAN	T whose opinion is contained in t	his Report*		
McBee & Co., PC	1 whose opinion is contained in t	ms Report		
	(Name – if individual, state last, firs.	t, middle name)		
718 Paulus Avenue	Dallas	TX	75214	
(Address)	(City)	(State)	(Zip Code)	
CHECK ONE:				
Certified Public Accountant				
Public Accountant				
Accountant not resident in U	United States or any of its possess	sions.		
	FOR OFFICIAL USE ON	LY		

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

### OATH OR AFFIRMATION

I, Lindsay Sherrer	, swear (or affirm) that, to the best of
my knowledge and belief the accompanying financia TenOaks Energy Advisors, LLC	l statement and supporting schedules pertaining to the firm of . as
of December 31	, 2018, are true and correct. I further swear (or affirm) that
neither the company nor any partner, proprietor, princlessified solely as that of a customer, except as follows:	ncipal officer or director has any proprietary interest in any account
· -	Partner Title
Notary Public  This report ** contains (check all applicable boxes):  (a) Facing Page.  (b) Statement of Financial Condition.	GREG EASON Notary ID #131745879 My Commission Expires September 26, 2022
	ty or Partners' or Sole Proprietors' Capital. nated to Claims of Creditors.  Requirements Pursuant to Rule 15c3-3. ontrol Requirements Under Rule 15c3-3. anation of the Computation of Net Capital Under Rule 15c3-1 and the
<ul> <li>(k) A Reconciliation between the audited and ur consolidation.</li> <li>(l) An Oath or Affirmation.</li> <li>(m) A copy of the SIPC Supplemental Report.</li> </ul>	ve Requirements Under Exhibit A of Rule 15c3-3.  naudited Statements of Financial Condition with respect to methods of statements of Financial Condition with respect to methods of statements of Financial Condition with respect to methods of statements of the previous audit.

<sup>\*\*</sup> For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



#### REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

### To the Member of TenOaks Energy Advisors, LLC

#### **Opinion on the Financial Statements**

We have audited the accompanying statement of financial condition of TenOaks Energy Advisors, LLC as of December 31, 2018 and the related notes (collectively referred to as the "financial statement"). In our opinion, the financial statement presents fairly, in all material respects, the financial position of TenOaks Energy Advisors, LLC as of December 31, 2018, in conformity with accounting principles generally accepted in the United States of America.

#### **Basis for Opinion**

This financial statement is the responsibility of TenOaks Energy Advisors, LLC's management. Our responsibility is to express an opinion on TenOaks Energy Advisors, LLC's financial statement based on our audit. We are a public accounting firm registered with the Public Company Accounting Oversight Board (United States) ("PCAOB") and are required to be independent with respect to the Company in accordance with the U.S. federal securities laws and the applicable rules and regulations of the Securities and Exchange Commission and the PCAOB.

We conducted our audit in accordance with the standards of the PCAOB. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statement is free of material misstatement, whether due to error or fraud. Our audit included performing procedures to assess the risks of material misstatement of the financial statement, whether due to error or fraud, and performing procedures that respond to those risks. Such procedures included examining, on a test basis, evidence regarding the amounts and disclosures in the financial statement. Our audit also included evaluating the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the financial statement. We believe that our audit provides a reasonable basis for our opinion.

McBee & Co, PC

We have served as TenOaks Energy Advisors, LLC's auditor since 2015.

Dallas, Texas

February 15, 2019

# **TenOaks Energy Advisors, LLC**

## **Statement of Financial Condition**

# as of December 31, 2018

ASSETS		
Cash and Cash Equivalents		\$ 286,144
	TOTAL ASSETS	\$ 286,144
LIABILITIES AND MEMBER'S EQ	UITY	
Payable to Affiliate		\$ 38,400
TOTAL LIABILITIES		 38,400
MEMBER'S EQUITY		 247,744
TOTAL LIABILITIES AND I	MEMBER'S EQUITY	\$ 286,144